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N THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Defossé et al.  
Serial No.: 09/853,366  
Date Filed: May 11, 2001  
Group Art Unit: 2154  
Examiner: Lee, Philip C.  
Title: *Method and System for the Optimal Formatting,  
Reduction and Compression of DEX/UCS Data*

**MAIL STOP AMENDMENT**  
Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail No. EV351284401US addressed to: Mail Stop – Amendment, Commissioner of Patents, Office, P.O. Box 1450, Alexandria, VA 22313-1450, on January 31, 2005.

A handwritten signature in black ink, appearing to read "Adesewa Faleti".

Adesewa Faleti

Dear Sir or Madam:

**INFORMATION DISCLOSURE STATEMENT**

Applicants respectfully request, pursuant to 37 C.F.R. §§1.56, 1.97 and 1.98, that the references listed on the attached PTO-1449 form, be considered and cited in the examination of the above-identified application. A copy of the references is enclosed for the convenience of the Examiner. Furthermore, pursuant to 37 C.F.R. §§1.97(g) and (h), no representation is made that these references are material to the patentability of the present application.

02/04/2005 BABRAHA1 00000147 09853366

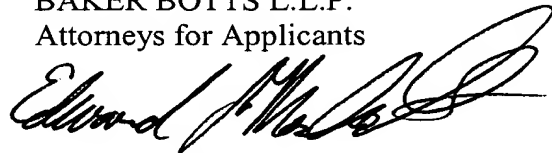
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180.00 OP

Applicants enclose a check in the amount of \$180.00 and believe no further fees are due; however, the Commissioner is hereby authorized to charge any additional fees to Deposit Account No. 50-2148 of Baker Botts L.L.P. in order to effectuate this filing.

Respectfully submitted,

BAKER BOTTS L.L.P.  
Attorneys for Applicants

A handwritten signature in black ink, appearing to read "Edward J. Marshall", written over the printed name.

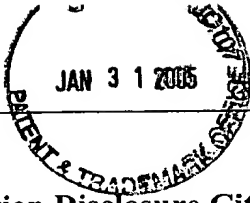
Edward J. Marshall  
Reg. No. 45,395

Date: January 31, 2005

Correspondence Address:

Customer No. **31625**

512.322.2545  
512.322.8319 (Fax)



PTO-1449  <b>Information Disclosure Citation in an Application</b>	Application No.	Applicant(s)	
	09/853,366	Defossé et al.	
	Docket Number	Group Art Unit	Filing Date
	064814.0132	2154	May 11, 2001

## U.S. PATENT DOCUMENTS

		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	A.	6304895	10/16/01	Schneider et al.	709	203	7/23/99
	B.	6714977	3/30/04	Fowler et al.	709	224	10/27/99
	C.	6738811	5/18/04	Liang	709	224	3/31/00
	D.	6502131	12/31/02	Vaid et al.	709	224	12/4/98
	E.	6437692	8/20/02	Petite et al.	340	540	11/12/99
	F.	6712266	3/30/04	Bartley et al.	235	380	5/25/01
	G.	4553211	11/12/85	Kawasaki et al.	364	479	8/22/83
	H.	6068305	5/30/00	Myers et al.	292	201	7/8/98
	I.	6185545	2/6/01	Resnick et al.	705	40	11/17/99
	J.	6289453	9/11/01	Walker et al.	713	175	9/8/98
	K.	6339731	1/15/02	Morris et al.	700	236	9/3/99
	L.	6356794	3/12/02	Perin Jr, et al.	700	78	9/14/00

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
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	N.							
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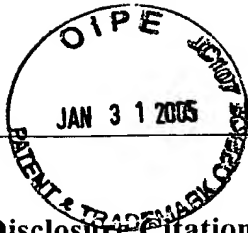
## NON-PATENT DOCUMENTS

		DOCUMENT (Including Author, Title, Source, and Pertinent Pages)	DATE
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	U.		

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.



PTO-1449	Application No.	Applicant(s)	
	09/853,366	Defossé et al.	
	Do:ket Number	Group Art Unit	Filing Date
<b>Information Disclosure Citation in an Application</b>	064814.0132	2154	May 11, 2001

## U.S. PATENT DOCUMENTS

		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	A.	6442532	8/27/02	Kawan	705	35	8/17/98
	B.	6467685	10/22/02	Teicher	235	379	3/9/00
	C.	6505095	1/7/03	Kolls	700	244	6/19/01
	D.	6553336	4/22/03	Johnson et al.	702	188	6/26/00
	E.	6581986	6/24/03	Roatis et al.	292	199	9/25/01
	F.	6604086	8/5/03	Kolls	705	14	6/17/99
	G.	6609113	8/19/03	O'Leary et al.	705	39	2/3/00
	H.	6704714	3/9/04	O'Leary et al.	705	39	2/3/00
	I.	6772048	8/3/04	Leibu et al.	700	241	10/3/01
	J.	5671362	9/23/97	Cowe et al.	395	228	4/4/95
	K.	5794144	8/11/98	Comer et al.	455	426	3/25/96
	L.	6070070	5/30/00	Ladue	455	419	1/20/99

## FOREIGN PATENT DOCUMENTS

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PTO-1449  <b>Information Disclosure Citation in an Application</b>	Application No.	Applicant(s)	
	09/853,366	Defossé et al.	
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## U.S. PATENT DOCUMENTS

		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	A.	6230150	5/8/01	Walker et al.	705	400	3/31/98
	B.	6584309	6/24/03	Whigham	455	414	12/16/99
	C.	6606602	8/12/03	Kolls	705	14	6/17/99
	D.	6748296	6/8/04	Banerjee et al.	700	241	4/25/02
	E.						
	F.						
	G.						
	H.						
	I.						
	J.						
	K.						
	L.						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
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**COPY**

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In re Application of: Defossé et al.  
Serial No.: 09/853,366  
Date Filed: May 11, 2001  
Group Art Unit: 2154  
Examiner: John A. Follansbee  
Title: *Method and System for the Optimal Formatting,  
Reduction and Compression of DEX/UCS Data*

**MAIL STOP AMENDMENT**  
Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail No. EV351289179US addressed to: Mail Stop Amendment, Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on August 25, 2004.

  
Angela Loding

Dear Sir or Madam:

**EV351289179US**

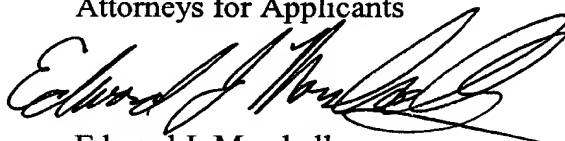
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Applicants believe no fees are due; however, the Commissioner is hereby authorized to charge any fees to Deposit Account No. 50-2148 of Baker Botts L.L.P. in order to effectuate this filing.

Respectfully submitted,

BAKER BOTTS L.L.P.  
Attorneys for Applicants



Edward J. Marshall  
Reg. No. 45,395

Date: August 24, 2005

Correspondence Address:

Customer No. **31625**

512.322.2545  
512.322.8319 (Fax)

PTO-1449

**Information Disclosure Citation  
in an Application**

Application No.

09,853,366

Pocket Number

064814.0132

Applicant(s)

Defossé et al.

Group Art Unit

2154

Filing Date

May 11, 2001

**U.S. PATENT DOCUMENTS**

		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	A.	5957262	9/28/99	Molbak et al.	194	200	2/5/98
	B.	5620079	4/15/97	Molbak	194	217	5/3/94
	C.						
	D.						
	E.						
	F.						
	G.						
	H.						
	I.						
	J.						
	K.						
	L.						

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
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	M.							
	N.							
	O.							
	P.							
	Q.							
	R.							

**NON-PATENT DOCUMENTS**

		DOCUMENT (Including Author, Title, Source, and Pertinent Pages)	DATE
	S.		
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	U.		

EXAMINER

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<input type="checkbox"/> Application: Spec., Claims, Abstract (___ Page(s))	<input type="checkbox"/> Verified Statement (___ Page(s))
<input type="checkbox"/> CIP <input type="checkbox"/> Conv. Prov. <input type="checkbox"/> Div.	<input type="checkbox"/> Mo. Extension of Time (___ Page(s))
<input type="checkbox"/> Cont. <input type="checkbox"/> Provisional	<input type="checkbox"/> Amendment/Response (___ Page(s))
<input type="checkbox"/> Informal/Formal Drawing(s) (___ Page(s))	<input type="checkbox"/> Issue Fee Transmittal (___ Page(s))
Declaration(s)/Power(s) of Attorney (___ Page(s))	<input type="checkbox"/> Check No. ___ Amt. \$
<input type="checkbox"/> Executed <input type="checkbox"/> Unexecuted	<input type="checkbox"/> Check No. ___ Amt. \$
<input type="checkbox"/> Assignment w/-or w/o Cover Sheet (___ Page(s))	<input type="checkbox"/> Other
<input checked="" type="checkbox"/> IDS and PTO-1449 (3 Page(s))	
<input checked="" type="checkbox"/> w/Refs. <input type="checkbox"/> w/o Refs.	
<input type="checkbox"/> Non-Publication Request (___ Page(s))	
Inventor(s): Erin M. Defosse	Serial No. 09/853,366
Title: Method and System for the Optimal Formatting, Reduction and Compression of DEX/UCS Data	
Client/Applicant: Isochron	BB File No. 064814:0132
Mailed: 08/25/04	Certificate of Mailing
Due:	<input checked="" type="checkbox"/> Express Mail Rept. No. EV351289179US
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Receipt Date & Serial No.



COPY

The "Received" stamp of the Patent and Trademark Office imprinted hereon acknowledges the filing of:

Application - Spec., Claims, Abstract (\_\_\_ Page(s))

☐ CIP ☐ Conv. Prov. ☐ Div.

☐ Cont. ☐ Provisional

☐ Informal/Formal Drawing(s) (\_\_\_ Page(s))

Declaration(s)/Power(s) of Attorney (\_\_\_ Page(s))

☐ Executed ☐ Unexecuted

☐ Assignment w/ or w/o Cover Sheet (\_\_\_ Page(s))

☒ IDS and PTO-1449 (3 Pages)

☒ w/Refs. ☐ w/o Refs.

☐ Non-Publication Request (\_\_\_ Page(s))

☐ Verified Statement (\_\_\_ Page(s))

☐ \_\_\_ Mo. Extension of Time (\_\_\_ Page(s))

☐ Amendment/Response (\_\_\_ Page(s))

☐ Issue Fee Transmittal (\_\_\_ Page(s))

☐ Check No. \_\_\_ Amt.: \$

☐ Check No. \_\_\_ Amt.: \$

☐ Other:

Inventor(s): Defossé et al.

Serial No.: 09/853,366

Receipt Date & Serial No.:

Title: *M&S for the Optimal Formatting, Reduction and Compression of DEX/UCS Data*

Client/Applicant: Isochron

BB File No.: 064814.0132

Mailed: 6/7/02

Certificate of Mailing

Due:

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Atty./Secy.: TRF/kmb

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☐ Hand Delivered



ATTORNEY DOCKET  
064814.0132

**COPY** PATENT  
09/853,366



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Defossé et al.  
Serial No.: 09/853,366  
Date Filed: May 11, 2001  
Group Art Unit: 2154  
Examiner: Unknown  
Title: METHOD AND SYSTEM FOR THE OPTIMAL  
FORMATTING, REDUCTION AND COMPRESSION  
OF DEX/UCS DATA

Honorable Assistant Commissioner  
of Patents  
Washington, D.C. 20231

I hereby certify that this Information Disclosure Statement is being deposited with the United States Postal Service as Express Mail EL 740052800 US addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231, on the date shown below.

Katie Powers  
Katie Powers  
6/7/02  
Date

Dear Sir:

EL740052800US

**INFORMATION DISCLOSURE STATEMENT**

Applicants respectfully request, pursuant to 37 C.F.R. §§1.56, 1.97 and 1.98, that the references listed on the attached PTO-1449 form be considered and cited in the examination of the above-identified application. Copies of the references are enclosed for the convenience of the Examiner. Furthermore, pursuant to 37 C.F.R. §§1.97(g) and (h), no representation is made that these references are material to the patentability of the present application.

ATTORNEY DOCKET  
064814.0132

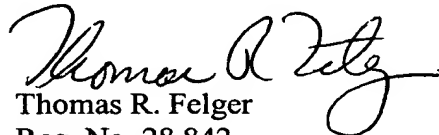
PATENT  
09/853,366

2

Applicants believes no fees are due, however, the Commissioner is hereby authorized to charge any fees or credit any overpayments to Deposit Account No. 02-0384 of Baker Botts L.L.P.

Respectfully submitted,

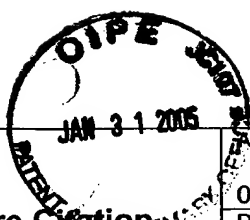
BAKER BOTTS L.L.P.  
Attorney for Applicants

  
Thomas R. Felger  
Reg. No. 28,842

Date: 6 JUNE 2002

CORRESPONDENCE ADDRESS:

Thomas R. Felger  
Baker Botts L.L.P.  
2001 Ross Avenue, Suite 600  
Dallas, Texas 75201-2980  
(512) 322-2599  
(512) 322-2501 - Facsimile



PTO-1449

**Information Disclosure Citation  
in an Application**

Application No.

Applicant(s)

09/853,366

Defosse et al.

Docket Number

Group Art Unit

Filing Date

064814.0132

2154

May 11, 2001

**U.S. PATENT DOCUMENTS**

		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	A						
	B						
	C						
	D						
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	F						
	G						
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	J						
	K						
	L						
	M						

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	N	WO 95/05609	2/23/95	PCT	G01R	27/14	X	
	O	WO 99/48065	9/23/99	PCT	G07F	9/02	X	
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	Q							

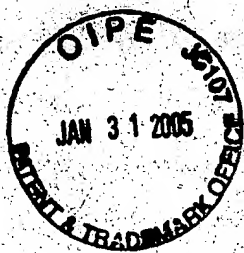
**NON-PATENT DOCUMENTS**

	DOCUMENT (Including Author, Title, Source, and Pertinent Pages)	DATE
R	International Search Report for PCT/US 01/15522 mailed May 16, 2002	Mailed 5/16/02
S		
T		
U		

EXAMINER

DATE CONSIDERED

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COPY

The "Received" stamp of the Patent and Trademark Office imprinted hereon acknowledges the filing of:

<input checked="" type="checkbox"/> Circle One: New Appl.: C.P.A.: Con.: CIP.: DIV.: Provisional: <u>Converted Provisional</u>	<input type="checkbox"/> EXTENSION OF TIME MTHS _____
<input checked="" type="checkbox"/> DECLARATION/POWER OF ATTORNEY	<input type="checkbox"/> NOTICE OF APPEAL _____
<input type="checkbox"/> ASSIGNMENT	<input type="checkbox"/> APPEAL BRIEF _____
<input type="checkbox"/> VERIFIED STATEMENT	<input type="checkbox"/> ISSUE FEE <u>102784</u> <u>400</u> <sup>us</sup>
<input checked="" type="checkbox"/> FORMAL DWGS <u>11</u> PAGES	<input checked="" type="checkbox"/> CHECK NO. <u>102783</u> AMT.: <u>525</u>
<input type="checkbox"/> INFORMAL DWGS _____ PAGES	<input type="checkbox"/> SERIAL NO. _____
<input type="checkbox"/> Circle One: AMENDMENT/RESPONSE _____	<input checked="" type="checkbox"/> OTHER <u>IDS w/ Refs; Data Sheet</u>

NAME OF INVENTOR(S): <u>DeTosse et al.</u>	RECEIPT DATE & SERIAL NO:
TITLE OF INVENTION: <u>Method and System for the Opimal...</u>	
CLIENT OR APPLICANT: <u>Isocrum</u>	
B&B FILE NO.: <u>064814.0132</u>	
MAILED: <u>5/11/01</u>	EXPRESS <input checked="" type="checkbox"/>
DUE:	RECEIPT NO.: <u>EL740</u>
ATTY/SECY: <u>TRF/KWC</u>	<u>056979</u>
	CERTIFICATE OF MAILING <input checked="" type="checkbox"/>
	HAND DELIVERED <input type="checkbox"/>

JC971 U.S. PTO <u>09/853366</u>  <u>05/11/01</u>
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Docket \_\_\_\_\_ Wrapper \_\_\_\_\_

RVF Docketed \_\_\_\_\_

Reference(s) \_\_\_\_\_

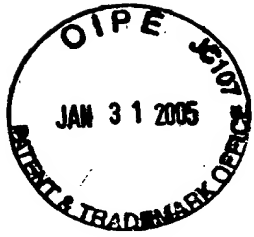
BAKER BOTTS, L.L.P.

MAY 15 2001

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ATTORNEY'S DOCKET  
064814.0132

**COPY** PATENT



1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Erin M. Defossé, Arif Pathan and  
James L. Chaput

Date Filed: May 11, 2001

Title: Method and System For the Optimal  
Formatting, Reduction and Compression  
of DEX/UCS Data

Honorable Assistant Commissioner  
of Patents  
Washington, D.C. 20231

I hereby certify that this Information Disclosure Statement is being deposited with the United States Postal Service as Express Mail EL 740 056 979 US addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231, on the date shown below.

*Heather Bergeron*  
Heather Bergeron

May 11, 2001

Date

Dear Sir:

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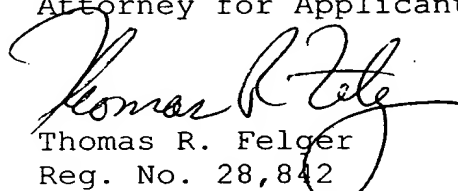
PATENT

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Respectfully submitted,

BAKER BOTTS L.L.P.  
Attorney for Applicant

  
Thomas R. Felger  
Reg. No. 28,842

2001 Ross Avenue  
800 Trammell Crow Center  
Dallas, Texas 75201-2980  
(512) 322-2599

Date:

11 MAY 2001



PTO-1449

Application No.

Applicant(s)  
Defosse et al.
**Information Disclosure Statement  
in an Application**
Docket Number  
064814.0132

Group Art Unit

Filing Date  
May 11, 2001

## U.S. PATENT DOCUMENTS

		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	A	3,784,737	01/08/74	Waehner	178	6	01/12/73
	B	4,661,862	04/28/87	Thompson	358	335	04/27/84
	C	5,337,253	08/09/94	Berkovsky et al.	364	479	09/24/93
	D	5,822,216	10/13/98	Satchell, Jr. et al.	364	479.01	09/18/96
	E	5,860,362	01/19/99	Smith.	101	494	03/10/97
	F	5,918,213	06/29/99	Bernard et al.	705	26	12/22/95
	G	5,997,170	12/07/99	Brodbeck	364	479.06	11/03/97
	H	6,056,194	05/02/00	Kolls	235	381	03/07/96
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	J						
	K						
	L						
	M						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
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	O							
	P							
	Q							

## NON-PATENT DOCUMENTS

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<input type="checkbox"/> CIP	<input type="checkbox"/> Conv. Prov.	<input type="checkbox"/> Div.
<input type="checkbox"/> Cont.	<input type="checkbox"/> Provisional	
<input type="checkbox"/> Informal/Formal Drawing(s) (___ Page(s))		
Declaration(s)/Power(s) of Attorney (___ Page(s))		
<input type="checkbox"/> Executed <input type="checkbox"/> Unexecuted		
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<input type="checkbox"/> ___ Mo. Extension of Time (___ Page(s))		
<input type="checkbox"/> Amendment/Response (___ Page(s))		
<input type="checkbox"/> Issue Fee Transmittal (___ Page(s))		
<input type="checkbox"/> Check No. ___ Amt.: \$		
<input type="checkbox"/> Check No. ___ Amt.: \$		
<input type="checkbox"/> Other:		
Inventors: Defossé et al.	Serial No.: 09/853,366	Receipt Date & Serial No.:
Title: M&S for the Optimal Formatting, Reduction and Compression of DEX/UCS Data		
Client/Applicant: Isochron	BB File No.: 064814.0132	
Mailed: 6/9/03	Certificate of Mailing	
Due:	<input checked="" type="checkbox"/> Express Mail Rept. No.: EV341127168US	
Atty./Secy.: MRB/kmb	<input type="checkbox"/> First Class Mail	
	<input type="checkbox"/> Hand Delivered	



1

COPY

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Defossé et al.  
Serial No.: 09/853,366  
Date Filed: May 11, 2001  
Group Art Unit: 2154  
Examiner: Unknown  
Title: *Method and System for the Optimal Formatting,  
Reduction and Compression of DEX/UCS Data*

Honorable Assistant Commissioner  
of Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

I hereby certify that this  
correspondence is being deposited  
with the United States Postal  
Service as Express Mail No.  
EV341127168US addressed to:  
Commissioner of Patents, P.O. Box  
1450, Alexandria, VA 22313-1450,  
on the date shown below.

*Shannon Judice*  
Shannon Judice

*6/9/03*  
Date

EV341127168US

Dear Sir:

**INFORMATION DISCLOSURE STATEMENT**

Applicants respectfully request, pursuant to 37 C.F.R. §§1.56, 1.97 and 1.98, that the reference listed on the attached PTO-1449 form be considered and cited in the examination of the above-identified application. A copy of the reference is enclosed for the convenience of the Examiner. Furthermore, pursuant to 37 C.F.R. §§1.97(g) and (h), no representation is made that this references is material to the patentability of the present application.

Applicants believe no fees are due; however, the Commissioner is hereby authorized to charge any fees to Deposit Account No. 50-2148 of Baker Botts L.L.P. in order to effectuate this filing.

Respectfully submitted,

BAKER BOTTS L.L.P.  
Attorneys for Applicants



Michael R. Barré  
Reg. No. 44,023

Date: 6/9/03

Correspondence Address:

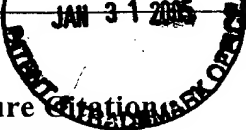
Customer No. 31625

512.322.2573  
512.322.8381 (Fax)



31625

PATENT TRADEMARK OFFICE

PTO-1449		Application No.		Applicant(s)	
		10/853,366		Defossé'et al.	
		Docket Number	Group Art Unit	Filing Date	
Information Disclosure Citation in an Application		064814.0132	2154	May 11, 2001	

## U.S. PATENT DOCUMENTS

	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
A.						
B.						
C.						
D.						
E.						
F.						
G.						
H.						
I.						

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
J.							
K.							
L.							

## NON-PATENT DOCUMENTS

	DOCUMENT (Including Author, Title, Source, and Pertinent Pages)	DATE
M.	International Preliminary Examination Report PCT/US01/31381	Mailed 5/12/03
N.		
O.		
P.		
Q.		
R.		
S.		
T.		
U.		

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.



COPY

The "Received" stamp of the Patent and Trademark Office imprinted hereon acknowledges the filing of:

<input type="checkbox"/> Application - Spec., Claims, Abstract (___ Page(s))	<input type="checkbox"/> Verified Statement (___ Page(s))
<input type="checkbox"/> CIP <input type="checkbox"/> Conv. Prov. <input type="checkbox"/> Div.	<input type="checkbox"/> ___ Mo. Extension of Time (___ Page(s))
<input type="checkbox"/> Cont. <input type="checkbox"/> Provisional	<input type="checkbox"/> Amendment/Response (___ Page(s))
<input type="checkbox"/> Informal/Formal Drawing(s) (___ Page(s))	<input type="checkbox"/> Issue Fee Transmittal (___ Page(s))
Declaration(s)/Power(s) of Attorney (___ Page(s))	<input type="checkbox"/> Check No. ___ Amt.: \$
<input type="checkbox"/> Executed <input type="checkbox"/> Unexecuted	<input type="checkbox"/> Check No. ___ Amt.: \$
<input type="checkbox"/> Assignment w/ or w/o Cover Sheet (___ Page(s))	<input type="checkbox"/> Other:
<input checked="" type="checkbox"/> IDS and PTO-1449 (___ Page(s))	
<input checked="" type="checkbox"/> w/Refs. <input type="checkbox"/> w/o Refs.	
<input type="checkbox"/> Non-Publication Request (___ Page(s))	

Inventor(s): Erin M. Defosse	Serial No.: 09/853,366
Title: <i>Method and System for the Optimal Formatting, Reduction and Compression of DEX/UCS Data</i>	
Client/Applicant: Isochron	BB File No.: 064814.0132
Mailed: 5/28/04	Certificate of Mailing
Due:	<input checked="" type="checkbox"/> Express Mail Rcpt. No.: EV352389479US
Atty./Secy.: BAD/adl	<input type="checkbox"/> First Class Mail
	<input type="checkbox"/> Hand Delivered





1

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Defossé et al.  
Serial No.: 09/853,366  
Date Filed: May 11, 2001  
Group Art Unit: 2154  
Examiner: John A. Follansbee  
Title: *Method and System for the Optimal Formatting,  
Reduction and Compression of DEX/UCS Data*

Mail Stop Amendment  
Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail No. EV352389479US addressed to: Mail Stop Amendment, Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Jay Howard

5/28/04

Date

Dear Sir or Madam:

**INFORMATION DISCLOSURE STATEMENT**

Applicants respectfully request, pursuant to 37 C.F.R. §§1.56, 1.97 and 1.98, that the references listed on the attached PTO-1449 form, be considered and cited in the examination of the above-identified application. Copies of the references are enclosed for the convenience of the Examiner. Furthermore, pursuant to 37 C.F.R. §§1.97(g) and (h), no representation is made that these references are material to the patentability of the present application.

Applicants believe no fees are due; however, the Commissioner is hereby authorized to charge any fees to Deposit Account No. 50-2148 of Baker Botts L.L.P. in order to effectuate this filing.

Respectfully submitted,

BAKER BOTTS L.L.P.  
Attorneys for Applicants



Brian A. Dietzel  
Reg. No. 44,656

Date: 05.28.2004

Correspondence Address:

Customer No. **31625**

512.322.2674

512.322.8307 (Fax)



PTO-1449

**Information Disclosure Citation  
in an Application**

Application No.

Applicant(s)

09/853,366

Defossé et al.

Docket Number

Group Art Unit

Filing Date

064814.0132

2154

May 11, 2001

**U.S. PATENT DOCUMENTS**

		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	A.	6131399	10/17/00	Hall	62	127	12/4/98
	B.	6324520	11/27/01	Walker et al.	705	16	10/1/98
	C.	6341271	1/22/02	Salvo et al.	705	28	11/13/98
	D.	6604087	8/5/03	Kolls	705	14	7/14/99
	E.						
	F.						
	G.						
	H.						
	I.						
	J.						
	K.						
	L.						

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	M.	1096408	5/2/01	EP	G06F	17/60	X	
	N.	02/19281	3/7/02	WO	G07F	7/00	X	
	O.							
	P.							
	Q.							
	R.							

**NON-PATENT DOCUMENTS**

		DOCUMENT (Including Author, Title, Source, and Pertinent Pages)	DATE
	S.	International Search Report PCT/US 03/37776	Mailed 5/17/04
	T.		
	U.		

EXAMINER

DATE CONSIDERED

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<input type="checkbox"/> Cont. <input type="checkbox"/> Provisional	<input type="checkbox"/> Amendment/Response (___ Page(s))
<input type="checkbox"/> Informal/Formal Drawing(s) (___ Page(s))	<input type="checkbox"/> Issue Fee Transmittal (___ Page(s))
<input type="checkbox"/> Declaration(s)/Power(s) of Attorney (___ Page(s))	<input type="checkbox"/> Check No. ___ Amt.: \$
<input type="checkbox"/> Executed <input type="checkbox"/> Unexecuted	<input type="checkbox"/> Check No. ___ Amt.: \$
<input type="checkbox"/> Assignment w/ or w/o Cover Sheet (___ Page(s))	<input type="checkbox"/> Other:
<input checked="" type="checkbox"/> IDS and PTO-1449 ( 3 Page(s))	
<input type="checkbox"/> w/Refs. <input type="checkbox"/> w/o Refs.	
<input type="checkbox"/> Non-Publication Request (___ Page(s))	

Inventor(s): Erin M. Defosse	Serial No.: 09/853,366	Receipt Date & Serial No.: 
Title: Method and System for the Optimal Formatting, Reduction and Compression of DEX/UCS Data		
Client/Applicant: Isochron	BB File No.: 064814.0132	
Mailed: 01/05/2004	Certificate of Mailing	
Due:	<input checked="" type="checkbox"/> Express Mail Rcpt. No.: EV352390786US	
Atty./Secy.: BAD/lo/mt	<input type="checkbox"/> First Class Mail	
	<input type="checkbox"/> Hand Delivered	

ATTORNEY DOKKE,  
064814.0132

PATENT APPLICATION  
09/853,366



1

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Defossé et al.  
Serial No.: 09/853,366  
Date Filed: May 11, 2001  
Group Art Unit: 2154  
Examiner: Unknown  
Title: *Method and System for the Optimal Formatting,  
Reduction and Compression of DEX/UCS Data*

Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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EV352390786US addressed to:  
Commissioner of Patents, P.O. Box  
1450, Alexandria, VA 22313-1450,  
on the date shown below.

*Shannon Judice*

Shannon Judice

*1/5/04*  
Date

Dear Sir:

**INFORMATION DISCLOSURE STATEMENT**

Applicants respectfully request, pursuant to 37 C.F.R. §§1.56, 1.97 and 1.98, that the reference listed on the attached PTO-1449 form be considered and cited in the examination of the above-identified application. A copy of the reference is enclosed for the convenience of the Examiner. Furthermore, pursuant to 37 C.F.R. §§1.97(g) and (h), no representation is made that this references is material to the patentability of the present application.

EV352390786US

Applicants believe no fees are due; however, the Commissioner is hereby authorized to charge any fees to Deposit Account No. 50-2148 of Baker Botts L.L.P. in order to effectuate this filing.

Respectfully submitted,

BAKER BOTTS L.L.P.  
Attorneys for Applicants



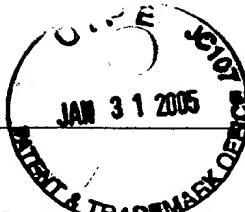
Brian A. Dietzel  
Reg. No. 44,656

Date: 01.02.2004

Correspondence Address:

Customer No. **31625**

512.322.2674  
512.322.8307 (Fax)



PTO-1449

**Information Disclosure Citation  
in an Application**

Application No.

09/853,366

Applicant(s)

Defossé 'et al.

Docket Number

064814.0132

Group Art Unit

2154

Filing Date

May 11, 2001

**U.S. PATENT DOCUMENTS**

		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	A.	6385772 B1	05/07/2002	Courtney	725	105	04/15/99
	B.						
	C.						
	D.						
	E.						
	F.						
	G.						
	H.						
	I.						

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	J.							
	K.							
	L.							

**NON-PATENT DOCUMENTS**

		DOCUMENT (Including Author, Title, Source, and Pertinent Pages)	DATE
	M.		
	N.		
	O.		
	P.		
	Q.		
	R.		
	S.		
	T.		
	U.		

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.



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|---|--|
| <input type="checkbox"/> Application - Spec., Claims, Abstract (___ Page(s))                    | <input type="checkbox"/> Verified Statement (___ Page(s))                          |
| <input type="checkbox"/> CIP <input type="checkbox"/> Conv. Prov. <input type="checkbox"/> Div. | <input type="checkbox"/> ___ Mo. Extension of Time (___ Page(s))                   |
| <input type="checkbox"/> Cont. <input type="checkbox"/> Provisional                             | <input type="checkbox"/> Amendment/Response (___ Page(s))                          |
| <input type="checkbox"/> Informal/Formal Drawing(s) (___ Page(s))                               | <input type="checkbox"/> Issue Fee Transmittal (___ Page(s))                       |
| Declaration(s)/Power(s) of Attorney (___ Page(s))   | <input type="checkbox"/> Check No. ___ Amt.: \$                                    |
| <input type="checkbox"/> Executed <input type="checkbox"/> Unexecuted                           | <input type="checkbox"/> Check No. ___ Amt.: \$                                    |
| <input type="checkbox"/> Assignment w/ or w/o Cover Sheet (___ Page(s))                         | <input checked="" type="checkbox"/> Other: Change of Correspondence Address (1 pg) |
| <input checked="" type="checkbox"/> IDS and PTO-1449 ( 8 Page(s))                               |  |
| <input checked="" type="checkbox"/> w/Refs. <input type="checkbox"/> w/o Refs.                  |  |
| <input type="checkbox"/> Non-Publication Request (___ Page(s))                                  |  |

Inventor(s): Defosse et al.

Serial No.: 09/853,366

Receipt Date & Serial No.:

Title: Method and System for the Optimal Formatting, Reduction and Compression of DEX/UCS

Client/Applicant: Isochron Data

BB File No.: 064814.0132

Mailed: 02/12/03

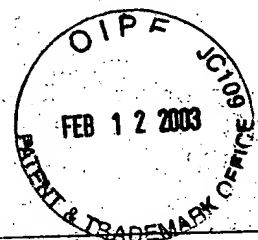
Certificate of Mailing

Due:

☒ Express Mail Rcpt. No.: EV203841742US

Atty./Secy.: TRF/kwc

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Defossé et al.  
Serial No.: 09/853,366  
Date Filed: May 11, 2001  
Group Art Unit: 2154  
Examiner: Unknown  
Title: *Method and System for the Optimal Formatting,  
Reduction and Compression of DEX/UCS Data*

Honorable Assistant Commissioner  
of Patents  
Washington, D.C. 20231

I hereby certify that this Information Disclosure Statement is being deposited with the United States Postal Service as Express Mail EV203841742US addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231, on the date shown below.

Shannon Judice  
Shannon Judice  
2/12/03  
Date

Dear Sir:

EV203841742US

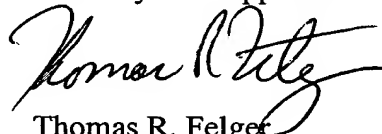
**INFORMATION DISCLOSURE STATEMENT**

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Also enclosed is a Change in Correspondence Address. Applicants believe no fees are due; however, the Commissioner is hereby authorized to charge any fees or credit any overpayments to Deposit Account No. 50-2148 of Baker Botts L.L.P.

Respectfully submitted,

BAKER BOTTS L.L.P.  
Attorneys for Applicants

  
Thomas R. Felger  
Reg. No. 28,842

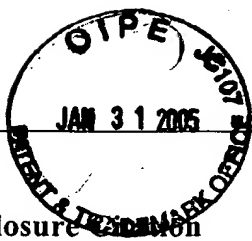
Date: 10 FEB 2003

Correspondence Address:  
1500 San Jacinto Center  
98 San Jacinto Blvd.  
Austin, Texas 78701  
512.322.2599  
512.322.8305 (Fax)



31625  
PATENT TRADEMARK OFFICE





PTO-1449		Application No. 10/853,366		Applicant(s) Defossé et al.			
<b>Information Disclosure Statement in an Application</b>		Docket Number 064814.0132		Group Art Unit 2154	Filing Date 05/11/01		
<b>U.S. PATENT DOCUMENTS</b>							
		<b>DOCUMENT NO.</b>	<b>DATE</b>	<b>NAME</b>	<b>CLASS</b>	<b>SUBCLASS</b>	<b>FILING DATE</b>
	A.	4412292	10/25/83	Sedam et al.	364	479	
	B.	4454670	6/19/84	Bachmann et al.	40	584	12/4/81
	C.	4677565	6/30/87	Ogaki et al.	364	479	2/11/86
	D.	4766548	8/23/88	Cedrone et al.	364	479	1/2/87
	E.	4850009	7/18/89	Zook et al.	379	96	5/31/88
	F.	4954697	9/4/90	Kokubun et al.	235	381	4/6/89
	G.	5029098	7/1991	Lavasseur	364	479	
	H.	5077582	12/31/91	Kravette et al.	355	206	4/20/89
	I.	5090589	2/25/92	Brandes et al.	221	2	2/20/87
<b>FOREIGN PATENT DOCUMENTS</b>							
		<b>DOCUMENT NO.</b>	<b>DATE</b>	<b>COUNTRY</b>	<b>CLASS</b>	<b>SUBCLASS</b>	<b>TRANSLATION</b>
	J.	WO 95/04333	02/09/95	PCT	G07F 9	02	YES NO
	K.	0 817 138 A1	01/07/98	EP	G07F 9	00	
	L.	WO 00/04476	01/27/00	PCT	G06F	17/60	x
<b>NON-PATENT DOCUMENTS</b>							
		<b>DOCUMENT (Including Author, Title, Source, and Pertinent Pages)</b>					<b>DATE</b>
	M.	International Search Report for PCT/US99/05983 7 pages (064814.0107)					Mailed 8/13/99
	N.	International Search Report PCT/US01/16749 (064814.0145)					Mailed 12/20/01
	O.	International Search Report PCT/US01/15522					Mailed 5/16/02
	P.	Skywire Provides Details of Wireless 'VendView' System; Vending Times, Sep., 1994					9/1994
	Q.	Skywire allows vendor tracking of pop stock and sales details; RCR, vol. 14, No. 17					9/4/95
	R.	Left high and dry? Sold-out machine sends for Cokes; Nashville Banner					8/16/95
	S.	Leitch, Carolyn, "Coke machines signal when it's time for a refill"; The Globe & Mail, Toronto, Ontario					8/30/95
	T.	Wireless Communications Forum; vol. III, No 1 pp. 25-30.					4/1995
	U.	Meet the Smart Coke Machine; The Sacramento Bee Business Technology; Wednesday, Aug. 30, 1995					8/30/95
EXAMINER					DATE CONSIDERED		
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.							



PTO-1449

**Information Disclosure Citation  
in an Application**

Application No.

10/853,366

Applicant(s)

Defossé et al.

Docket Number

064814.0132

Group Art Unit

2154

Filing Date

05/11/01

**U.S. PATENT DOCUMENTS**

		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	A.	5091713	02/25/92	Horne et al.	340	541	
	B.	5117407	5/26/92	Vogel	369	30	8/24/89
	C.	5184179	2/2/93	Tarr et al.	355	206	7/31/91
	D.	5207784	05/04/93	Schwartzendruber	221	6	
	E.	5239480	8/24/93	Huegel	364	479	2/12/91
	F.	5255819	10/26/93	Peckels	222	1	3/18/91
	G.	5282127	1/1994	Mii	364	479	
	H.	5339250	08/16/94	Durbin	364	479	
	I.	5371348	12/6/94	Kumar et al.	235	472	10/16/92
	J.	5386360	1/31/95	Wilson et al.	364	146	4/1/92
	K.	5400246	3/21/95	Wilson et al.	364	146	8/5/92
	L.	5445295	8/29/95	Brown	221	3	1/17/92
	M.	5505349	4/9/96	Peckels	222	641	10/26/93
	N.	5507411	4/16/96	Peckels	222	1	6/6/95
	O.	5561604	10/1/96	Buckley et al.	364	479.05	10/22/90
	P.	5986219	11/16/96	Carroll et al.	177	1	1/14/98
	Q.	5608643	3/4/97	Wichter et al.	364	479.14	9/1/94
	R.	5701252	12/23/97	Facchin et al.	364	479	8/1/94

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	S.	2 744 545	02/02/96	FR	G07F 11	32		
	T.	0 564 736 A1	10/13/93	EP	G07F	17/42	X	
	U.	WO 00/04475	01/27/00	PCT	G06F	17/60	X	
	V.	WO 89/07807	8/24/89	PCT	G07F	9/02	X	

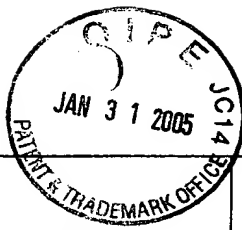
**NON-PATENT DOCUMENTS**

	DOCUMENT (Including Author, Title, Source, and Pertinent Pages)	DATE
W.	International Search Report PCT/US 01/31381 (064814.0209)	Mailed 11/7/02
X.	International Search Report PCT US 01/41640	Mailed 8/21/02

EXAMINER

DATE CONSIDERED

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<b>PTO-1449</b>  <b>Information Disclosure Citation in an Application</b>		Application No.		Applicant(s)	
		10/853,366		Defossé et al.	
		Docket Number	Group Art Unit	Filing Date	
		064814.0132	2154	05/11/01	

U.S. PATENT DOCUMENTS							
		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	A.	5708223	1/13/98	Wyss	73	865.9	1/25/96
	B.	5787149	7/28/98	Yousefi et al.	379	59	11/16/95
	C.	5794144	8/11/98	Comer et al.	455	426	3/25/96
	D.	5805997	9/1998	Farris			8/1/94
	E.	5815652	9/29/98	Ote et al.	395	183.07	5/30/96
	F.	5818603	10/6/98	Motoyama	358	296	10/6/98
	G.	5842597	12/1/98	Kraus et al.	221	150 R	12/10/96
	H.	5844808	12/1/98	Konsmo et al.	364	479.14	3/30/95
	I.	5850187	12/15/98	Carrender et al.	340	825.54	3/27/96
	J.	5862517	1/1999	Honey et al.			
	K.	5867688	2/2/99	Simmon et al.	395	500	2/14/94
	L.	5892758	4/1999	Argyoudis			
	M.	5898904	4/27/99	Wang	455	31.3	10/13/95
	N.	5905442	5/1999	Mosebrook et al.			

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		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	O.	WO 97/09667	3/13/97	PCT	G06F	1/00	X	
	P.	WO 98/45779	10/15/98	PCT	G06F	11/00	X	
	Q.	WO 99/36751	7/22/99	PCT	G01G	9/00	X	
	R.	9198172A2	7/31/97	JP (abstract translated)	G06F	3/00		X
	S.	6296335A2	10/21/94	JP (abstract translated)	H02J	13/00		

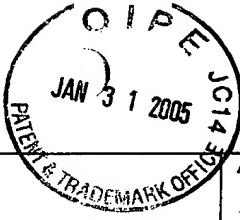
  

NON-PATENT DOCUMENTS		
	DOCUMENT (Including Author, Title, Source, and Pertinent Pages)	DATE
T.	NetBotz Internet Article, "Welcome to Netbotz" at internet < <a href="http://www.netbotz.com">http://www.netbotz.com</a> >	Printed 5/10/00
U.	American Power Conversion Internet Article, "Lightning Advisor", at internet, < <a href="http://lightning.apcc.com">http://lightning.apcc.com</a> >	Printed 5/10/00

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PTO-1449

**Information Disclosure Citation  
in an Application**

Application No.

10/853,366

Applicant(s)

Defossé et al.

Docket Number

064814.0132

Group Art Unit

2154

Filing Date

05/11/01

**U.S. PATENT DOCUMENTS**

		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	A.	5905882	5/1999	Sakagami et al.			
	B.	5907491	5/25/99	Canada et al.	364	468.15	4/4/97
	C.	5909183	6/1999	Borgstahl et al.	340	825.22	
	D.	5915207	6/22/99	Dao et al.	455	9	1/22/96
	E.	5924081	7/13/99	Ostendorf et al.	705	30	11/14/95
	F.	5930770	7/27/99	Edgar	705	28	12/2/96
	G.	5930771	7/27/99	Stapp	705	28	12/20/96
	H.	5941363	8/24/99	Partyka et al.	194	217	7/31/96
	I.	5943042	8/24/99	Siio	345	172	10/5/95
	J.	5949779	9/1999	Mostafa et al.			
	K.	5956487	9/1999	Venkatraman et al.			
	L.	5959536	9/1999	Chambers et al.			
	M.	5959869	9/28/99	Miller et al.	364	479.1	12/3/96
	N.	5982325	11/9/99	Thornton et al.	342	357.07	11/24/97

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		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
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	O.	0 602 787 A2	10/18/93	EP	H04L	29/06	X	
	P.	WO 00/31701	6/2/00	PCT	G07F	9/02	X	
	Q.	WO 99/23620	5/14/99	PCT	G07F	9/02	X	
	R.	WO 99/27465	6/3/99	PCT	G06F	17/00	X	
	S.	41 40 450 A1	6/9/93	DE	G07F	9/02		X

**NON-PATENT DOCUMENTS**

		DOCUMENT (Including Author, Title, Source, and Pertinent Pages)	DATE
	T.	American Products Internet Article, "Product Information", at internet, < <a href="http://www.apc.com">http://www.apc.com</a> >	Printed 5/10/00
	U.		
	V.		

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		Docket Number 064814.0132		Group Art Unit 2154	

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	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
A.	5991749	11/23/99	Morrill Jr.	705	44	9/9/97
B.	6003070	12/1999	Frantz			
C.	6005850	12/21/99	Moura et al.	370	282	8/21/96
D.	6012041	1/4/00	Brewer et al.	705	28	2/28/97
E.	6021324	2/2000	Sizer II, et al.			
F.	6021437	2/1/00	Chen et al.	709	224	7/14/97
G.	6029143	2/22/00	Mosher et al.	705	28	6/6/97
H.	6032202	2/2000	Lea et al.			
I.	6038491	3/14/00	McGarry et al.	700	231	11/26/97
J.	6052667	4/18/00	Walker et al.	705	15	9/21/98
K.	6052750	4/18/00	Lea	710	72	1/6/98
L.	6057758	5/2/00	Dempsey et al.	340	539	5/20/98
M.	6061668	5/9/00	Sharrow	705	400	11/10/97
N.	6072521	6/6/00	Harrison et al.	348	12	1/6/98

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Q.							
R.							

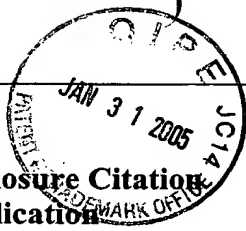
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**U.S. PATENT DOCUMENTS**

		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	A.	6085888	7/11/00	Tedesco et al.	194	217	7/14/99
	B.	6119100	9/12/00	Walker et al.	705	20	10/6/97
	C.	6161059	12/12/00	Tedesco et al.	700	232	9/14/98
	D.	6181981	1/30/01	Varga et al.	700	236	5/15/96
	E.	6230150	5/2001	Walker et al.			
	F.	6272395	8/7/01	Brodbeck	700	236	12/3/99
	G.	6457038	9/24/02	Defosse	709	200	3/12/99
	H.	5982652	11/9/99	Simonelli et al.	363	142	7/14/98
	I.						
	J.						

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		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	K.							
	L.							
	M.							
	N.							

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	U.		
	V.		
	W.		

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